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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/751,617	
	Filing Date	JANUARY 5, 2004	
	First Named Inventor	KISSAK T. SARAJIAN	
	Art Unit	3751	
	Examiner Name	KRISHNAMURTHY, RAMESH	
Total Number of Pages in This Submission	27	Attorney Docket Number	EPS-107US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT INFORMATION DISCLOSURE STATEMENT PTO FORM 1449 (4 PAGES) LISTED REFERENCES</b>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>ALSO ENCLOSED: RETURN POSTCARD</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	JOHN E. MUNGER, REG. NO. 37,685 JANSSON, SHUPE & MUNGER, LTD. 245 MAIN STREET, RACINE, WI 53403
Signature	
Date	AUGUST 12, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

EPS-107US

In Re Application Of: AUG 16 2004

SARAJIAN ET AL

Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

Confirmation No.

10/751,617

JANUARY 5, 2004

KRISHNAMURTHY

24314

3751

2423

Title: MODULAR UMBRELLA VALVE

Address to:

Commissioner for Patents

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Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
EPS-107US

In Re Application: **AUG 16 2004**  
**SARAJIAN ET AL.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/751,617	JANUARY 5, 2004	KRISHNAMURTHY	24314	3751	2423

**MODULAR UMBRELLA VALVE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account **10-0270** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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*Teresa Johnson*

Signature of Person Mailing Correspondence

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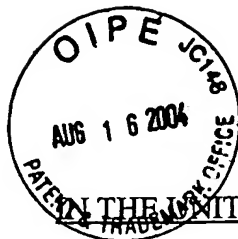
\*This certificate may only be used if paying by deposit account.

*John E. Munger*  
Signature

**JOHN E. MUNGER, REG. NO. 37,685**  
**JANSSON, SHUPE & MUNGER, LTD.**  
**245 MAIN STREET**  
**RACINE, WI 53403**  
**262-632-6900**

Dated: August 12, 2004

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Sarajian et al.

Attorney Docket No. EPS-107US

Serial No. 10/751,617

Group Art Unit: 3751

Filed: January 5, 2004

Examiner: Krishnamurthy, Ramesh

Title: MODULAR UMBRELLA VALVE

\* \* \* \* \*

Jansson, Shupe & Munger, Ltd.  
245 Main Street  
Racine, WI 53403-1034  
August 12, 2004

**Mail Stop DD**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Attached is a completed supplemental information disclosure statement form and a copy of each document listed thereon. The statement is believed to be timely filed pursuant to 37 C.F.R. § 1.97(b)(3) because, as far as the Applicants are aware, the first office action has not yet been mailed.

Consideration of each such document by the Examiner is respectfully requested.

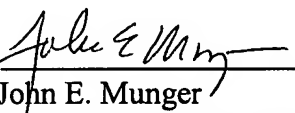
Pursuant to section 609 of the Manual of Patent Examining Procedure, the Examiner is requested

to return an initialed copy of such PTO-1449 form with the next communication, to indicate that such documents have been considered.

This submission is not a representation that any such document is prior art to this application; the right to establish otherwise, pursuant to 37 CFR 1.131 or otherwise, is reserved.

The listed documents are not represented to be material to the examination of this application; such documents are cited, however, to make it clear beyond any doubt that there has been compliance with the Duty of Disclosure. We do not concede each item cited is relevant but the items are cited to show the general background of the art.

Respectfully submitted,

By   
John E. Munger  
Registration No. 37,685

Attorneys for Applicants:

Jansson, Shupe & Munger, Ltd.  
245 Main Street, Suite M  
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Telephone: 262/632-6900

CERTIFICATE OF MAILING

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August 12, 2004

Teresa Johnson

Name

Teresa Johnson

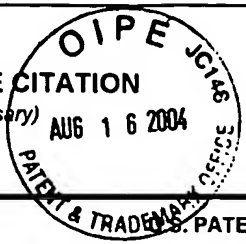
Signature

Date

8/12/04

<b>INFORMATION DISCLOSURE SITUATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>EPS-107US</b>		APPLICATION NO. <b>10/751,617</b>		
				APPLICANT(S) <b>SARAJIAN ET AL.</b>				
				FILING DATE <b>JANUARY 5, 2004</b>		GROUP ART UNIT <b>3751</b>		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		3,976,096	08/24/1976	KASS, DECEASED ET AL.				
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
		Vernay Laboratories, Inc., Umbrella Check Valve VA3497 (2 pages), 1998, <www.vernay.com>						
		Vernay Laboratories, Inc., Vernay Umbrella Check Valve VA3497 Technical Information Sheet (2 pages), May 12, 2003, <www.vernay.com>						
EXAMINER					DATE CONSIDERED			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>EPS-107US</b>		APPLICATION NO. <b>10/751,617</b>	
				APPLICANT(S) <b>SARAJIAN ET AL.</b>			
FILING DATE <b>JANUARY 5, 2004</b>				GROUP ART UNIT <b>3751</b>			



U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
			Vernay Laboratories, Inc., Umbrella Check Valves (5 pages), 1998, <www.vernay.com>
			Vernay Laboratories, Inc., Umbrella Check Valves (4 pages), May 9, 2003, <www.vernay.com>

EXAMINER	DATE CONSIDERED
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	APPLICANT(S) <b>SARAJIAN ET AL.</b>	
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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

			Engineered Products and Services, Inc., Easy Caps (EZY) (3 pages), 2004, <www.epsi.com>
			Engineered Products and Services, Inc., Easy Cap photograph (1 page), undated, <www.epsi.com>

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>EPS-107US</b>	APPLICATION NO. <b>10/751,617</b>
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**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

			Shercon plug photograph (1 page), undated.

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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